DATA SPECIFICATIONS HS/270 - Eligibility Inquiry 4010A1 Implementation Format

HIPAA - EDI Health Care - Eligibility, Coverage or Benefit Inquiry

Version: Final

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Trading Partner: Provdr, Submttr, Clearinghse

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 06/02/2005

Notes: For Leased-Line, Dial-Up and

Batch Submissions

270

Eligibility, Coverage or Benefit Inquiry

Functional Group=**HS**

Guide Updates:

20050523 update: added BATCH submission transaction information to this guideline document.

20041021 update: added Dial-Up to the cover page, made the BHT03 Required, included NM103-05 in Subscriber Loop. 20040623 update: added 2 more routing code options to ISA08, removed 'EDS' & routing code from GS03 & from NM109 in loop 2100A.

20040902 update: changed CIN to Primary ID in NM109 of Subscriber loop, added 'NQ' to REF01 of Subscriber loop, modified the Medi-Cal Note for ISA02, and added some Segment Medi-Cal Notes re. Segment occurrences.

MEDI-CAL NOTE:

All loops and segments will appear in the exact sequence as they appear on page 3; however, the BATCH transactions require the REF segment at the Receiver level and Leased-Line & Dial-Up are restricted to one Subscriber loop per transaction.

Important note re. data element separators .. Between the first data element and the second data element (between 'ISA' & ISA01), a data element separator is needed. This is a character which should never be used in any of the data fields. For Medi-Cal, we use '*' (asterisk). This first data element separator defines the data element separators used through the entire interchange inquiry. A data element separator will always appear after each data element used, or in place of each data element not used. Exception: No separators are used in place of trailing data elements. Trailing data elements are those which are NOT used and which come between the last data element used and the end of a segment. Also, the last data element used is followed only by a segment terminator (no data element separator).

Important note re. segment terminators .. After the first segment (the ISA Segment), a segment terminator is needed. This is a character which should never be used in any of the data fields, and it is different from the data element separator and the component separator (see ISA16). For Medi-Cal, we use Hex '0D' for Leased-Line & Dial-Up and the caret ('^') for BATCH. This first segment terminator defines the segment terminators used through the entire interchange inquiry. Segment terminators appear at the end of each segment used. No segment terminator is needed between, or in place of, segments which are NOT used.

Req

M

Max Use

1

Repeat

Notes

<u>Usage</u>

Required

<u>Usage</u>

Required

Required

Required

Heading:

<u>Pos</u>

010

Pos

230

240

250

<u>ld</u>

SE

GE

IEA

<u>ld</u>

ISA

Segment Name

Segment Name

Transaction Set Trailer

Functional Group Trailer

Interchange Control Trailer

Interchange Control Header

010	10/	interchange control rieader	IVI				rtequired
020	GS	Functional Group Header	M	1			Required
030	ST	Transaction Set Header	M	1			Required
040	BHT	Beginning of Hierarchical Transaction	M	1			Required
etail:							
<u>Pos</u>	<u>ld</u>	Segment Name	Req	Max Use	Repeat	<u>Notes</u>	<u>Usage</u>
LOOP ID) - 2000A				<u>1</u>		
060	HL	Information Source Level	М	1			Required
LOOP ID) - 2100A				<u>1</u>		
080	NM1	Information Source Name	М	1			Required
LOOP ID) - 2000B				1		
100	HL	Information Receiver Level	М	1	_		Required
LOOP ID) - 2100B				1		,
120	NM1	Information Receiver Name	М	1	-		Required
130	REF	Information Receiver Additional Identification	0	9			Situational
LOOP ID) - 2000C				99		
140	HL	Subscriber Level	М	1			Required
150	TRN	Subscriber Trace Number	0	2			Situational
LOOP ID) - 2100C				1		
170	NM1	Subscriber Name	М	1	_		Required
180	REF	Subscriber Additional Identification	0	9			Situational
190	DMG	Subscriber Demographic Information	0	1			Situational
200	DTP	Subscriber Date	0	2			Situational
LOOP ID) - 2110C				<u>1</u>		
220	EQ	Subscriber Eligibility or Benefit Inquiry Information	0	1			Situational

Req

Μ

Μ

Μ

Max Use

1

1

1

Repeat

Notes

ISA Interchange Control Header

Pos: 010 Max: 1 Heading - Mandatory Loop: N/A Elements: 16

User Option (Usage): Required

Comments:

Example:

- 1. The first data element separator ('*' for Medi-Cal) defines the data element separators to be used through the entire interchange inquiry.
- 2. The segment terminator (for Medi-Cal: Hex '0D' for Leased-Line & Dial-Up, '^' for BATCH) used after the ISA segment defines the segment terminator to be used throughout the entire interchange inquiry.

Spaces in		ple(s) are represented by pe	riods ('.') for clarity.					
		*ZZ**ZZ*610442	EDS214*YYMMDD	*HHMI	Л*U*00401	**0*P*~(He	c'0D')	
ISA*03*	_	*ZZ**ZZ*610442	*YYMMDD*HHI	MM*U*	00401*	*1*P*~^		
lement	Summa	ary:						
<u>Ref</u>	<u>ld</u>	Element Name	_			Min/Max	<u>Usage</u>	Rep
ISA01	I01	Authorization Information Coescription: Code to identify information in the Authorization Code Name	y the type of on Information.	Л	ID	2/2	Required	1
			ata Identification	_				
ISA02	102	Authorization Information Description: Information use identification or authorization interchange Sender or the da interchange; the type of infor the Authorization Information (ISA01). MEDI-CAL NOTE: Submitte (left justified) their 3-charac (software vendor) ID, follow character Software Version with trailing spaces. New F TSC: (800)541-5555, or if or (916)636-1200.	ed for additional of the ata in the mation is set by Qualifier ers must enter cter Submitter ved by their 4- n Number, and Providers call ut of state:	Л	AN	10/10	Required	1
ISA03	103	Security Information Qualify Description: Code to identify information in the Security Information Qualify Information Information Qualify Information Information Qualify Information	y the type of formation. ed-Line & Dial-	И	ID	2/2	Required	1
		Code Name						
		_	Information Present (UNLESS SECURITY				E OF PASSWORD)
		01 Password						
ISA04	104	Security Information	()	AN	10/10	Situational	1
10/10-	10-7	cooding information		,	/ 11 N	10/10	Citadional	

	Description: This is used for identifying the security information about the interchange Sender; the type of information is set by the Security Information Qualifier (ISA03). MEDI-CAL NOTE: For Leased-Line & Dial-Up: Required Submitter PIN/Password, left justified and with trailing spaces. For BATCH: leave blank, PIN is validated against the Medi-Cal Web site login password.					
ISA05 105	Interchange ID Qualifier Description: Qualifier to designate the system/method of code structure used to designate the Sender ID element being qualified. This ID qualifies the Sender in ISA06.	M	ID	2/2	Required	1
	MEDI-CAL NOTE: For Leased-Line & Dial- Up, use the Provider Number; same used in Receiver Level NM109. For BATCH, use the Submitter ID; same used to login to the Medi-Cal Web site.					
	CodeNameZZMutually Defined					
ISA06 I06	Interchange Sender ID Description: Identification code published by the Sender for other parties to use as the Receiver ID to route data to them; the Sender always codes this value in the Sender ID element. MEDI-CAL NOTE: For Leased-Line & Dial- Up: Provider Number plus Other Intermediary Code (OI), left justify and with trailing spaces. OI Codes: Spaces for Medi-Cal Providers, 00 for Delti-Cal, first 2 digits of OI PIN for OI Providers. For BATCH: Submitter ID, same used to login to the Medi-Cal Web site.	M	AN	15/15	Required	1
ISA07 I05	Interchange ID Qualifier Description: Qualifier to designate the system/method of code structure used to designate the Receiver ID element being qualified. This ID qualifies the Receiver in ISA08. Code Name	M	ID	2/2	Required	1
ISA08 107	Interchange Receiver ID Description: Identification code published by the Receiver of the data; When sending, it is used by the Sender as their Receiving ID, thus other parties sending to them will use this as a Receiving ID to route data to them. MEDI-CAL NOTE: For Leased-Line & Dial-Up: '610442EDS214', left justify and with trailing spaces. This is the ETIN + 'EDS' + Routing Code. The Routing Code can be: 214 = Production, 213 = Vendor Software Validation, or 211 = System Test. For BATCH: '610442'	M	AN	15/15	Required	1
ISA09 I08	Interchange Date Description: Date of the interchange inquiry.	M	DT	6/6	Required	1

		MEDI-CAL NOTE: Date in Y format.	YMMDD					
ISA10	109	Interchange Time Description: Time of the interinquiry. MEDI-CAL NOTE: Time in H	_	M	TM	4/4	Required	1
ISA11	l10	Interchange Control Standa Description: Code to identify responsible for the control sta the message that is enclosed interchange header and traile Code Name	y the agency andard used by d by the er.	M	ID	1/1	Required	1
		U U.S. EDI Co	mmunity of ASC X1	12, TDC0	C, and UCS			
ISA12	l11	Interchange Control Version Description: Code specifying number of the interchange co	g the version	M	ID	5/5	Required	1
			ards for Trial Use Ap gh October 1997	oproved t	for Publicatio	on by ASC X12 F	Procedures Review	
ISA13	l12	Interchange Control Number Description: A control number the interchange sender. MEDI-CAL NOTE: A number justified and with leading zenumber must be identical to	er assigned by er, right eros. This	M	N9	9/9	Required	1
ISA14	l13	Acknowledgment Requeste Description: Code sent by the request an interchange acknowledge (TA1). MEDI-CAL NOTE: For Leas Up use '0', for BATCH use '0' Code Name No Acknowledgment Requeste No Acknowledge No Acknowled	ne sender to owledgment ed-Line & Dial-	M	ID	1/1	Required	1
		1 Interchange	Acknowledgment R	Requeste	d			
ISA15	l14	Usage Indicator Description: Code to indicate enclosed by this interchange test, production or informatio MEDI-CAL NOTE: For test to submitted in the BATCH meelse 'P' in all instances.	re whether data envelope is n. transactions	M	ID	1/1	Required	1
		CodeNamePProduction ITTest Data	Data					
ISA16	I15	Component Element Separ Description: The component separator is a delimiter and nelement; this field provides the used to separate component within a composite data struct must be different than the daseparator and the segment to MEDI-CAL NOTE: '~' (used Note: You may use a Comp Separator of your choice; I cannot be the same as the Separator or the Segment Total Separator Separ	t element not a data ne delimiter data elements cture; this value ta element erminator. in this Guide). conent nowever it Data Element	M	AN	1/1	Required	1

GS Functional Group Header

Pos: 020 Max: 1 Heading - Mandatory Loop: N/A Elements: 8

User Option (Usage): Required

Example: Spaces in the example(s) are represented by periods ('.') for clarity.
For Leased-Line & Dial-Up: GS*HS**601442*CCYYMMDD*HHMMSSDD**X*004010X092A1(Hex'0D')
For BATCH: GS*HS**601442*CCYYMMDD*HHMMSSDD**X*004010X092A1^

Element	Summa	ary:					
Ref	<u>ld</u>	Element Name	Req	<u>Type</u>	Min/Max	<u>Usage</u>	Rep
GS01 479		Functional Identifier Code Description: Code identifying a group of application related transaction sets. Code Name		ID	2/2	Required	1
		HS Eligibility, Coverage or Bene	fit Inquiry	(270)			
GS02	142	Application Sender's Code Description: Code identifying party sending transmission; codes agreed to by trading partners. Use this code to identify the unit sending the information. MEDI-CAL NOTE: For Leased-Line & Dial-Up: Provider Number plus Other Intermediary Code (OI). OI Codes: Spaces for Medi-Cal Providers, 00 for Delti-Cal, First 2 digits of OI PIN for OI Providers. For BATCH: Submitter ID, same used to login to the Medi-Cal website.	M	AN	2/15	Required	1
GS03	124	Application Receiver's Code Description: Code identifying party receiving transmission; codes agreed to by trading partners. Use this code to identify the unit receiving the information. MEDI-CAL NOTE: '610442'.	М	AN	2/15	Required	1
GS04	373	Date Description: Use this date for the functional group creation date. MEDI-CAL NOTE: Date in CCYYMMDD format.	M	DT	8/8	Required	1
G\$05	337	Time Description: Time expressed in 24-hour clock time as follows: HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99). Use this time for the creation time.		ТМ	8/8	Required	1

		MEDI-CAL format.	NOTE: Time in HHMMSSDD					
GS06 28	Descriptio	Group Control Number Description: Assigned number originated and maintained by the sender.		N9	9/9	Required	1	
	justified ar	NOTE: A number, right nd with leading zeros. This ust be identical to GE02.						
GS07 455	455	Responsible Agency Code Description: Code identifying the issuer of the standard; this code is used in conjunction with Data Element 480. MEDI-CAL NOTE: 'X'.		M	ID	1/2	Required	1
		<u>Code</u>	<u>Name</u>					
		Χ	Accredited Standards Com	mittee X12				
GS08	480	Version / F Code	Release / Industry Identifier	M	ID	1/12	Required	1

Description: Code indicating the version, release, subrelease, and industry identifier of the EDI standard being used, including the GS and GE segments; if code in DE455 in GS segment is X, then in DE 480 positions 1-3 are the version number; positions 4-6 are the release and subrelease, level of the version; and positions 7-12 are the industry or trade association identifiers (optionally assigned by user).

Code Name

004010X092A1 Draft Standards Approved for Publication by ASC X12 Procedures Review Board through October 1997, as published in this implementation guide.

ST Transaction Set Header

Pos: 030 Max: 1 Heading - Mandatory Loop: N/A Elements: 2

User Option (Usage): Required

Examp	ıle:

Spaces in the example(s) are represented by periods ('.') for clarity.

For Leased-Line & Dial-Up: ST*270*.....(Hex'0D')

For BATCH:

ST*270*.....^

-161116111	Julilli	aiy.					
Ref	<u>ld</u>	Element Name	Req	<u>Type</u>	Min/Max	<u>Usage</u>	Rep
ST01	143	Transaction Set Identifier Code Description: Code uniquely identifying a Transaction Set. Use this code to identify the transaction set ID for the transaction set that will follow the ST segment. Each X12 standard has a transaction set identifier code that is unique to that transaction set.	M	ID	3/3	Required	1
		<u>Code</u> 270 Name Eligibility, Coverage or Benefit	t Inquiry				
ST02	329	Transaction Set Control Number Description: Identifying control number that must be unique within the transaction set functional group assigned by the originator for a transaction set. MEDI-CAL NOTE: A number, right justified and with leading zeros. This number must be identical to SE02.	M	N9	9/9	Required	1

BHT Beginning of Hierarchical Transaction

Pos: 040 Max: 1 Heading - Mandatory Loop: N/A Elements: 5

User Option (Usage): Required

Example:

Spaces in the example(s) are represented by periods ('.') for clarity.

For Leased-Line & Dial-Up:

BHT*0022*13*66666*CCYYMMDD*HHMMSSDD(Hex'0D')

For BATCH:

BHT*0022*13*66666*CCYYMMDD*HHMMSSDD^

Ref	<u>ld</u>	Element Nar	<u>ne</u>	Req	<u>Type</u>	Min/Max	<u>Usage</u>	Rep
BHT01	1005	Description: Code indicating the hierarchical application structure of a transaction set that utilizes the HL segment to define the structure of the transaction set. Use this code to specify the sequence of hierarchical levels that may appear in the transaction set. This code only indicates the sequence of the levels, not the requirement that all levels be present. For example, if code "0022" is used, the dependent level may or may not be present for each subscriber.		M	ID	4/4	Required	1
		<u>Code</u>	<u>Name</u>					
		0022	Information Source, Information	on Recei	iver, Subsc	criber, Depende	nt	
BHT02	353		Set Purpose Code Code identifying purpose of et. Name Request	M	ID	2/2	Required	1
BHT03	127	Reference Identification Description: Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier. This element is to be used to trace the transaction from one point to the next point, such as when the transaction is passed from one clearinghouse to another clearinghouse. This identifier is to be returned in the corresponding 271 transaction's BHT03. This identifier will only be returned by the last entity to handle the 270. This identifier will not be passed through the complete life of the transaction. All recipients of 270 transactions are required to return the Submitter Transaction		0	AN	1/30	Situational	1

		Identifier in their 271 response if one is submitted. Industry: Submitter Transaction Identifier. MEDI-CAL NOTE: This information is required, by the information Receiver, when using Real Time transactions. For BATCH, this is optional.					
BHT04	373	Date Description: Use this date for the date the transaction set was generated. Industry: Transaction Set Creation Date. MEDI-CAL NOTE: Date in CCYYMMDD format.	M	DT	8/8	Required	1
ВНТ05	337	Time Description: Time expressed in 24-hour clock time as follows: HHMMSSDD, where H = hours (00-23), M = minutes (00-59), S = integer seconds (00-59) and DD = decimal seconds; decimal seconds are expressed as follows: D = tenths (0-9) and DD = hundredths (00-99). Use this time for the time the transaction set was generated. Industry: Transaction Set Creation Time. MEDI-CAL NOTE: Time in HHMMSSDD format.	M	ТМ	8/8	Required	1

Loop 2000A

Pos: 050 Repeat: 1

Mandatory

Loop: 2000A Elements: N/A

Loop Summary:

<u>Pos</u>	<u>ld</u>	Segment Name	<u>Req</u>	Max Use	<u>Repeat</u>	<u>Usage</u>
060	HL	Information Source Level	M	1		Required
070		Loop 2100A	M		1	Required

Information Source Level HL

Pos: 060 Max: 1 **Detail - Mandatory** Loop: 2000A Elements: 3

User Option (Usage): Required

Example:

Spaces in the example(s) are represented by periods ('.') for clarity.

For Leased-Line & Dial-Up: HL*1**20*1(Hex'0D')

For BATCH: HL*1**20*1^

Elemen	t Summ	ary:						
Ref	<u>ld</u>	Element Na	<u>ame</u>	Req	<u>Type</u>	Min/Max	<u>Usage</u>	Rep
HL01	628	Description the sender segment in sequentially identify eac segment wi begin with t incremented occurrence	al ID Number n: A unique number assigned by to identify a particular data a hierarchical structure. Use this assigned positive number to h specific occurrence of an HL thin a transaction set. It should he number one and be d by one for each successive of the HL segment within that insaction set (ST through SE).	M	AN	1/12	Required	1
		MEDI-CAL	NOTE: '1'.					
HL03 735		Hierarchical Level Code Description: Code defining the characteristic of a level in a hierarchical structure. All data that follows an HL segment is associated with the entity identified by the level code; this association continues until the next occurrence of an HL segment. Code Name			ID	1/2	Required	1
		<u>Code</u>	<u>Name</u>					
		20	Information Source				. f = = 4' =	
	700		Description: Identifies the pa	ayor, ma M				
HL04 736		Hierarchical Child Code Description: Code indicating if there are hierarchical child data segments subordinate to the level being described. Use this code to indicate whether there are additional hierarchical levels subordinate to the current hierarchical level. Because of the hierarchical structure, and because an additional HL always exists in this transaction, the code value in the HL04 at the Loop 2000A level should always be "1".			ID	1/1	Required	1
		<u>Code</u> 1	<u>Name</u> Additional Subordinate HL Da	ta Saam	ent in Thic	Hiprarchical Str	ructure	
		ı	Additional Subditimate HL Da	ia oegii	ioni in inio	i n c iaicilicai Sti	uotul o .	

Loop 2100A

Pos: 070 Repeat: 1

Mandatory

Loop: 2100A Elements: N/A

Loop Summary:

PosIdSegment NameReqMax UseRepeatUsage080NM1Information Source NameM1Required

NM1 Information Source Name

Pos: 080 Max: 1 Detail - Mandatory Loop: 2100A Elements: 5

User Option (Usage): Required

Syntax:

1. P0809 - If either NM108,NM109 is present, then all are required

Example:

Spaces in the example(s) are represented by periods ('.') for clarity.

For Leased-Line & Dial-Up:

NM1*PR*2*Medi-Cal****46*610442(Hex'0D')

For BATCH:

NM1*PR*2*Medi-Cal****46*610442^

MEDI-CAL NOTE:

No data element separator ('*') is needed for 'trailing' data elements.

Element Summary:

<u>Ref</u>	<u>ld</u>	Element Name	Req	<u>Type</u>	Min/Max	<u>Usage</u>	<u>Rep</u>
NM101	98	Entity Identifier Code Description: Code identifying an organizational entity, a physical location, property or an individual. Code Name PR Payer	M	ID	2/3	Required	1
NM102	1065	Entity Type Qualifier Description: Code qualifying the type of entity. Use this code to indicate whether the entity is an individual person or an organization. Code Name Non-Person Entity	M	ID	1/1	Required	1
NM103	1035	Name Last or Organization Name Description: Individual last name or organizational name. Use this name for the organization's name if the entity type qualifier is a non-person entity. Otherwise, use this name for the individual's last name. Use if name information is needed to identify the source of eligibility or benefit information. Industry: Information Source Last or Organization Name. MEDI-CAL NOTE: 'MEDI-CAL'.	М	AN	1/8	Required	1
NM108	66	Identification Code Qualifier Description: Code designating the system/method of code structure used for Identification Code (67).	M	ID	1/2	Required	1
		<u>Code</u> <u>Name</u>					

Electronic Transmitter Identification Number (ETIN) **Description:** A unique number assigned to each transmitter and software developer.

NM109 67 **Identification Code** Μ ΑN 2/15 Required 1

Description: Code
Description: Code identifying a party or other code. Use this reference number as qualified by the preceding data element (NM108).
Industry: Information Source Primary Identifier.
MEDI-CAL NOTE: '610442'.

Loop 2000B

Pos: 090 Repeat: 1

Mandatory

Loop: 2000B Elements: N/A

Loop Summary:

<u>Pos</u>	<u>ld</u>	Segment Name	Req	Max Use	<u>Repeat</u>	<u>Usage</u>
100	HL	Information Receiver Level	M	1		Required
110		Loop 2100B	M		1	Required

Information Receiver Level HL

Pos: 100 Max: 1 **Detail - Mandatory** Elements: 4 Loop: 2000B

User Option (Usage): Required

Exa	m	pΙ	e:

Spaces in the example(s) are represented by periods ('.') for clarity.

For Leased-Line & Dial-Up: HL*2*1*21*1(Hex'0D')

For BATCH: HL*2*1*21*1^

Ref	<u>ld</u>	Element Name	Req	Type	Min/Max	<u>Usage</u>	Rep
HL01	628	Hierarchical ID Number Description: A unique number assigned by the sender to identify a particular data segment in a hierarchical structure. Use this sequentially assigned positive number to identify each specific occurrence of an HL segment within a transaction set. It should begin with the number one and be incremented by one for each successive occurrence of the HL segment within that specific transaction set (ST through SE).	M	AN	1/12	Required	1
HL02	734	MEDI-CAL NOTE: '2'. Hierarchical Parent ID Number Description: Identification number of the next higher hierarchical data segment that the data segment being described is subordinate to. Use this code to identify the specific hierarchical level to which this level is subordinate. MEDI-CAL NOTE: '1'.	M	AN	1/12	Required	1
HL03	735	Hierarchical Level Code Description: Code defining the characteristic of a level in a hierarchical structure. All data that follows an HL segment is associated with the entity identified by the level code; this association continues until the next occurrence of an HL segment. Code Name Information Receiver	M	ID	1/2	Required	1
HL04	736	Description: Identifies the printing Hierarchical Child Code Description: Code indicating if there are hierarchical child data segments subordinate to the level being described. Use this code to indicate whether there are additional hierarchical levels subordinate to the current	ovider oi M	r party(ies) ID	who are the rec	ipient(s) of the info	rmation. 1

hierarchical level. Because of the hierarchical structure, and because an additional HL always exists in this transaction, the code value in HL04 at the Loop 2000B level will always be "1".

Code Name

1 Additional Subordinate HL Data Segment in This Hierarchical Structure.

Loop 2100B

Pos: 110 Repeat: 1

Mandatory

Loop: 2100B Elements: N/A

Loop Summary:

<u>Pos</u>	<u>ld</u>	Segment Name	Req	Max Use	Repeat	<u>Usage</u>
120	NM1	Information Receiver Name	M	1		Required
130	REF	Information Receiver Additional Identification	0	9		Situational

NM1 Information Receiver Name

Pos: 120 Max: 1
Detail - Mandatory
Loop: 2100B Elements: 4

User Option (Usage): Required

Syntax:

1. P0809 - If either NM108,NM109 is present, then all are required

Example: Spaces in the example(s) are represented by periods ('.') for clarity. For Leased-Line & Dial-Up: NM1*1P*1******SV*.............(Hex'0D') NM1*1P*2******SV*.............(Hex'0D') For BATCH: NM1*1P*1*****SV*............^ NM1*1P*2******SV*...........^ MM1*1P*2******SV*............^ NM1*1P*2******SV*...............^ NM1*1P*2******SV*..................^

Element Summary:

			•		_	_			_
R		<u>ld</u>	Element Na	<u>me</u>	<u>Req</u>	<u>Type</u>	Min/Max	<u>Usage</u>	<u>Rep</u>
NI	M101	98		: Code identifying an al entity, a physical location,	М	ID	2/3	Required	1
			1P	Provider					
N II	1400	4005			B.4	ID	4./4	Descripted	4
NM102 1	1065	entity. Use t	: Code qualifying the type of his code to indicate whether the ndividual person or an	M	ID	1/1	Required	1	
		the Provide	NOTE: Use '1' for Person when r is doing business as a sole otherwise '2' for Non-Person						
			<u>Code</u>	<u>Name</u>					
			1	Person					
			2	Non-Person Entity					
NM108 66		66	Identification Code Qualifier Description: Code designating the system/method of code structure used for Identification Code (67). Use this element to qualify the identification number submitted in NM109. This is the number that the information source associates with the information receiver.		M	ID	1/2	Required	1
			<u>Code</u>	<u>Name</u>					
			SV	Service Provider Number					

Description: Use this code for the identification number assigned by the information source

to be used by the information receiver in health care transactions.

1

NM109 67 Identification Code M AN 2/15 Required

Description: Code identifying a party or other code. Use this reference number as qualified by the preceding data element (NM108).

Industry: Information Receiver

Identification Number.

MEDI-CAL NOTE: Provider Number plus Other Intermediary Code (OI). OI Codes: Spaces for Medi-Cal Providers, 00 for Delti-Cal, First 2 digits of OI PIN for OI Providers.

ExternalCodeList

Name: 537

Description: Health Care Financing Administration National Provider Identifier

REF

Information Receiver Additional Identification

Pos: 130 Max: 9
Detail - Optional
Loop: 2100B Elements: 2

User Option (Usage): Situational

To specify identifying information

Example:

Spaces in the example(s) are represented by periods ('.') for clarity.

For Leased-Line & Dial-Up:

NOT USED

For BATCH: REF*4A*......^

MEDI-CAL NOTE:

For BATCH, this segment is Required, and can occur 9 times, although only one occurrence is needed (for the Provider PIN).

-ieiiieiit	Sullillia	uy.								
<u>Ref</u>	<u>ld</u>	Element Name	<u>2</u>	Req	Type	Min/Max	<u>Usage</u>	<u>Rep</u>		
REF01	128	Description: Oldentification. Qualify the type following in RE MEDI-CAL NO	TE: For BATCH, enter '4A' enter the Provider	M	ID	2/3	Required	1		
		<u>Code</u>	<u>Name</u>							
		0B	State License Number							
			The state assigning the lie	cense nu	ımber mu	st be identified	in REF03.	oplied in		
		1C	Medicare Provider Number							
		1D	This code is only to be used when the information source is not Medicare. If the information source is Medicare, the Medicare provider number is to be supplied in NM109 using Identification Code Qualifier of "SV" in NM108. Medicaid Provider Number							
		טו								
			This code is only to be used when the information source is not Medicaid. If the information source is Medicaid, the Medicaid provider number is to be supplied in NM109 using Identification Code Qualifier of "SV" in NM108.							
		1J	Facility ID Number							
		4A	Personal Identification Number	er (PIN)						
			Description: A number that	uniquely	identifies a	an individual				
		CT	Contract Number							
			This code is only to be used once the HCFA National Provider Identifier has been mandated for use, and must be sent if required in the contract between the provider identified in Loop 2000B and the Information Source identified in Loop 2000A.							
		EL	Electronic device pin number							
		EO	Submitter Identification Numb	er						
			Description: A unique numb	er identif	ying the s	ubmitter of the tr	ansaction set			

User Identification JD N5 Provider Plan Network Identification Number Description: A number assigned to identify a specific provider in a health care plan network N7 Facility Network Identification Number **Description:** A number assigned to identify a specific facility in a health care plan network Q4 Prior Identifier Number SY Social Security Number The social security number may not be used for any Federally administered programs such as Medicare. TJ Federal Taxpayer's Identification Number HPI Health Care Financing Administration National Provider Identifier The Health Care Financing Administration National Provider Identifier may be used in this segment prior to being mandated for use. **CODE SOURCE:** 537: Health Care Financing Administration National Provider Identifier

С

AN

1/30

Required

1

REF02 127 Reference Identification

Description: Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier. Use this reference number as qualified by the preceding data element (REF01).

MEDI-CAL NOTE: For BATCH, enter the Provider PIN. For Other Intermediary (OI) Providers, besides Denti-Cal, enter the Provider OI code before the PIN to make an eight-digit PIN. This OI code before the PIN will become the OI code appended to the Provider ID entered in the Receiver Level NM109.

Loop 2000C

Pos: 130 Repeat: 99

Mandatory

Loop: 2000C Elements: N/A

Loop Summary:

<u>Pos</u>	<u>ld</u>	Segment Name	<u>Req</u>	Max Use	<u>Repeat</u>	<u>Usage</u>
140	HL	Subscriber Level	M	1		Required
150	TRN	Subscriber Trace Number	0	2		Situational
160		Loop 2100C	M		1	Required

HL Subscriber Level

Pos: 140 Max: 1 Detail - Mandatory Loop: 2000C Elements: 4

User Option (Usage): Required

Exa	m	pΙ	e:

Spaces in the example(s) are represented by periods ('.') for clarity.

For Leased-Line & Dial-Up: HL*3*2*22*0(Hex'0D')

For BATCH: HL*3*2*22*0^

Ref	<u>ld</u>	Element Name		Req	<u>Type</u>	Min/Max	<u>Usage</u>	Rep
HL01	628	the sender to identify segment in a hierarc sequentially assigne identify each specific segment within a trabegin with the numbincremented by one	ue number assigned by y a particular data thical structure. Use this d positive number to c occurrence of an HL nsaction set. It should er one and be for each successive segment within that	M	AN	1/12	Required	1
		MEDI-CAL NOTE: F Up: '3'. For BATCH increment this for e entered up to 99 Su	each Subscriber					
HL02	734	next higher hierarchi the data segment be subordinate to. Use	cation number of the cal data segment that sing described is this code to identify the level to which this level	M	AN	1/12	Required	1
HL03	735	Hierarchical Level (Description: Code of characteristic of a less structure. All data the segment is associated identified by the level continues until the no segment.	Code defining the vel in a hierarchical at follows an HL	M	ID	1/2	Required	1
		Code Nan						
			scriber					
			scription: Identifies the eoom, or on behalf of whom		• .			e and to
HL04	736	Hierarchical Child (Description: Code i		M	ID	1/1	Required	1

hierarchical child data segments subordinate to the level being described. Use this code to indicate whether there are additional hierarchical levels subordinate to the current hierarchical level.

Code Name

0 No Subordinate HL Segment in This Hierarchical Structure.

Subscriber Trace Number TRN

Spaces in the example(s) are represented by periods ('.') for clarity.

Pos: 150 Max: 2 **Detail - Optional** Loop: 2000C Elements: 4

User Option (Usage): Situational

For Leased-Line & Dial-Up:

Example:

TRN*1* TRN*1* TRN*1* For BATC TRN*1*	CH:	**Dial-Op:*1*					
_							
Element		•	_	_			_
<u>Ref</u> TRN01	<u>ld</u> 481	Element Name Trace Type Code Description: Code identifying which transaction is being referenced.	<u>Req</u> M	<u>Type</u> ID	<u>Min/Max</u> 1/2	<u>Usage</u> Required	<u>Rep</u> 1
		<u>Code</u> <u>Name</u>					
		1 Current Transaction Trace	Numbers				
TRN02	127	Reference Identification Description: Reference information as defined for a particular Transaction Set or specified by the Reference Identification Qualifier. Use this number for the trace or reference number assigned by the information receiver. Industry: Trace Number.		AN	1/30	Required	1
		MEDI-CAL NOTE: Provider Trace Number	er				
TRN03	509	or the Clearinghouse Trace Number. Originating Company Identifier Description: A unique identifier designatin the company initiating the funds transfer instructions. The first character is one-digit ANSI identification code designation (ICD) followed by the nine-digit identification number which may be an IRS employer identification number (EIN), data universal numbering system (DUNS), or a user assigned number; the ICD for an EIN is 1, DUNS is 3, user assigned number is 9. Us this number for the identification number of the company that assigned the trace or reference number specified in the previous data element (TRN02). The first position must be either a "1" if an EIN is used. a "3"	se f	AN	10/10	Situational	1

0

ΑN

1/30

Situational

1

a DUNS is used or a "9" if a user assigned identifier is used.

Industry: Trace Assigning Entity Identifier.

MEDI-CAL NOTE: '1' or '3' or '9', followed

by a nine-digit number.

Reference Identification TRN04 127

Description: Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier. Use this information if necessary to further identify a specific component of the company identified in the previous data element (TRN03).
Industry: Trace Assigning Entity

Additional Identifier.

29

Loop 2100C

Pos: 160 Repeat: 1 Mandatory

Loop: 2100C Elements: N/A

Loop Summary:

<u>Pos</u>	<u>ld</u>	Segment Name	Req	Max Use	Repeat	<u>Usage</u>
170	NM1	Subscriber Name	M	1		Required
180	REF	Subscriber Additional Identification	Ο	9		Situational
190	DMG	Subscriber Demographic Information	Ο	1		Situational
200	DTP	Subscriber Date	Ο	2		Situational
210		Loop 2110C	0		1	Situational

NM1 Subscriber Name

Pos: 170 Max: 1 Detail - Mandatory Loop: 2100C Elements: 7

User Option (Usage): Required

Syntax:

1. P0809 - If either NM108,NM109 is present, then all are required

Examp	le:
Spaces	in the

Spaces in the example(s) are represented by periods ('.') for clarity.

For Leased-Line & Dial-Up: NM1*IL*1******MI*.....(Hex'0D')

For BATCH: NM1*IL*1*****MI*.....^

MEDI-CAL NOTE:

No data element separator ('*') is needed for 'trailing' data elements.

<u>Ref</u>	<u>ld</u>	Element Name	Req	<u>Type</u>	Min/Max	<u>Usage</u>	<u>Rep</u>
NM101	98	Entity Identifier Code Description: Code identifying an organizational entity, a physical location, property or an individual. Code Name IL Insured or Subscriber	M	ID	2/3	Required	1
NM102	1065	Entity Type Qualifier Description: Code qualifying the type of entity. Use this code to indicate whether the entity is an individual person or an organization. Code Name Person	М	ID	1/1	Required	1
NM103	1035	Name Last or Organization Name MEDI-CAL NOTE: If you do not use this data element replace it with a Data Element Separator, and when an NM108 or NM109 follows.	0	AN	1/35	Situational	1
NM104	1036	Name First MEDI-CAL NOTE: If you do not use enter an '*' in place of this Data Element.	0	AN	1/25	Situational	1
NM105	1037	Name or Initial Middle MEDI-CAL NOTE: If you do not use enter an '*' in place of this Data Element.	0	AN	1/25	Situational	1
NM108	66	Identification Code Qualifier Description: Code designating the system/method of code structure used for Identification Code (67). Use this element to qualify the identification number submitted in NM109. This is the primary number that the information source associates with the	M	ID	1/2	Required	1

subscriber. Use this element if utilizing the HIPAA search option. See Section 1.3.8 for more information.

Code Name

MI Member Identification Number

> Description: This code may only be used prior to the mandated use of code "ZZ". This is the unique number the payer or information source uses to identify the insured (e.g., Health

> > 2/15

Required

1

Insurance Claim Number, Medicaid Subscriber ID Number, HMO Member ID, etc.).

ΑN

NM109 67 **Identification Code**

Description: Code identifying a party or other code. Use this reference number as qualified by the preceding data element

Μ

(NM108). Use this element if utilizing the HIPAA search option. See Section 1.3.8 for more information.

Industry: Subscriber Primary Identifier. **MEDI-CAL NOTE: Subscriber (Recipient)** Primary ID Number. Do not duplicate this

in REF02.

REF Subscriber Additional Identification

Pos: 180 Max: 9
Detail - Optional
Loop: 2100C Elements: 2

User Option (Usage): Situational

Example:

Spaces in	the exam	ple(s) are repre	sented by periods ('.') for clar	ity.						
For Lease REF*A6*		Dial-Up: (Hex'0D')								
For BATC REF*A6*		^								
MEDI-CA	AL NOT	E:								
This Segn	nent can o	occur 9 times.								
Element	Summa	ıry:								
Ref	<u>ld</u>	Element Name	2	Req	<u>Type</u>	Min/Max	<u>Usage</u>	Rep		
REF01	<u>128</u>	Reference Ide Description: C Identification. I qualify the type following in RE MEDI-CAL NO	Thification Qualifier Code qualifying the Reference Use this code to specify or of reference number that is F02, REF03, or both. TE: Do not use the same red in NM109 of loop 2100C.	M	ID	2/3	Required	1		
		<u>Code</u>	<u>Name</u>							
		18	Plan Number Description: The unique idea	ntification	n number a	assigned for a de	efined contribution p	lan		
		1L	Group or Policy Number							
			Use this code only if it car Policy number. Use codes					er or a		
		1W	Member Identification Number	r						
			Use only after the Unique Patient Identifier is available and has been provided in the NM109, but use of the UPI has not been mandated.							
		6P	Group Number							
		A6	Employee Identification Numb	er						
		EA	Medical Record Identification	Number						
			Description: A unique numb (hospital) to assist in retrieval	_			provider of service			
		EJ	Patient Account Number							
			Description: A unique numb facilitate retrieval of individua posting of payment.							
		IG	Insurance Policy Number							
		N6	Plan Network Identification Nu	ımber						
			Description: A number assigned health care services to insure			pecific health car	re network that prov	ides		
		NQ	Medicaid Subscriber Identifica	tion Nun	nber					
			Description: Unique identific	ation nu	mber assid	ned to each me	mber covered unde	ra		

subscriber's contract.

REF02	127	Reference Identification	M	AN	1/30	Required	1
		Description: Reference information as					
		defined for a particular Transaction Set or as					
		specified by the Reference Identification					
		Qualifier. Use this reference number as					
		qualified by the preceding data element					
		(REF01).					
		Industry: Subscriber Supplemental					
		Identifier.					
		MEDI-CAL NOTE: Do not use the same					
		number entered in NM109 of loop 2100C.					

DMG Subscriber Demographic Information

Pos: 190 Max: 1
Detail - Optional
Loop: 2100C Elements: 2

User Option (Usage): Situational

Syntax:

1. P0102 - If either DMG01,DMG02 is present, then all are required

Example:

Spaces in the example(s) are represented by periods ('.') for clarity.

For Leased-Line & Dial-Up: DMG*D8*CCYYMMDD(Hex'0D')

For BATCH:

DMG*D8*CCYYMMDD^

ziemem	Sullillia	ary.					
Ref	<u>ld</u>	Element Name	Req	Type	Min/Max	<u>Usage</u>	<u>Rep</u>
DMG01	1250	Date Time Period Format Qualifier Description: Code indicating the date format, time format, or date and time format. Use this code to indicate the format of the Subscriber Birth Date that follows in DMG02. Use this element if the subscriber is the patient and if utilizing the HIPAA search option. See Section 1.3.8 for more information. Code Name Date Expressed in Format CO	O	ID D	2/3	Situational	1
DMG02	1251	Date Time Period Description: Use this date for the Subscriber Birth Date. Use this element if the subscriber is the patient and if utilizing the HIPAA search option. See Section 1.3.8 for more information. Industry: Subscriber Birth Date. MEDI-CAL NOTE: Subscriber Birth Date in CCYYMMDD format.	0	DT	8/8	Situational	1

DTP Subscriber Date

Pos: 200 Max: 2
Detail - Optional
Loop: 2100C Elements: 3

User Option (Usage): Situational

Example:

Spaces in the example(s) are represented by periods ('.') for clarity.

For Leased-Line & Dial-Up: DTP*102*D8*CCYYMMDD(Hex'0D') DTP*472*D8*CCYYMMDD(Hex'0D')

For BATCH:

DTP*102*D8*CCYYMMDD^DTP*472*D8*CCYYMMDD^

MEDI-CAL NOTE:

This Segment can occur two times.

		•						
Ref	<u>ld</u>	Element Nam	<u>e</u>	Req	Type	Min/Max	<u>Usage</u>	<u>Rep</u>
DTP01	374	time, or both d	Code specifying type of date or	M	ID	3/3	Required	1
		<u>Code</u> 102 472	Name Issue Service					
DTP02	1250	Description: 0 format, time for	riod Format Qualifier Code indicating the date irmat, or date and time format.	M	ID	2/3	Required	1
		<u>Code</u> D8	Name Date Expressed in Format CC	CYYMMD	D			
DTP03	1251	qualified by the MEDI-CAL NO Subscriber's	Use this date for the date(s) as e preceding data elements. OTE: Issue Date of ID Card when DTP = 102, or when DTP = 472, in	М	DT	8/8	Required	1

Loop 2110C

Pos: 210 Repeat: 1

Optional

Loop: 2110C Elements: N/A

Loop Summary:

<u>Pos</u>	<u>ld</u>	Segment Name	Req	Max Use	Repeat	<u>Usage</u>
220	EQ	Subscriber Eligibility or Benefit Inquiry Information	0	1		Situational

Subscriber Eligibility or Benefit EQ **Inquiry Information**

Pos: 220 Max: 1 **Detail - Optional** Loop: 2110C Elements: 1

User Option (Usage): Situational

Example:

Spaces in the example(s) are represented by periods ('.') for clarity.

For Leased-Line & Dial-Up:

EQ*30(Hex'0D')

For BATCH:

EQ*30^

Element Summary:

Ref	<u>ld</u>	Element Name	<u>Req</u>	<u>Type</u>	Min/Max	<u>Usage</u>	<u>Rep</u>
EQ01	1365	Service Type Code	M	ID	1/2	Required	1
		Description: Code identifying the					
		ala a distance and a series of					

classification of service. An information source must support a generic request for Eligibility. This is accomplished by submitting a Service Type Code of "30" (Health Benefit

Plan Coverage) in EQ01.

Code **Name** 30 Health Benefit Plan Coverage

Description: If only a single category of inquiry can be supported, use this code.

SE Transaction Set Trailer

Pos: 230 Max: 1 Summary - Mandatory Loop: N/A Elements: 2

User Option (Usage): Required

Example: Spaces in the example(s) are represented by periods ('.') for clarity.	
opuses in the example(e) are represented by periode (1) for startly.	
For Leased-Line & Dial-Up: SE*(Hex'0D')	
For BATCH: SE*^	

Ref	<u>ld</u>	Element Name	Req	<u>Type</u>	Min/Max	<u>Usage</u>	Rep
SE01	96	Number of Included Segments Description: Total number of segments included in a transaction set including ST and SE segments. Use this number to indicate the total number of segments included in the transaction set inclusive of the ST and SE segments. Industry: Transaction Segment Count.	M	N9	1/10	Required	1
SE02	329	Transaction Set Control Number Description: Identifying control number that must be unique within the transaction set functional group assigned by the originator for a transaction set. This unique number also aids in error resolution research. Start with a number, for example "0001", and increment from there. MEDI-CAL NOTE: A number, right justified and with leading zeros. This number must be identical to ST02.	M	N9	9/9	Required	1

GE Functional Group Trailer

Pos: 240 Max: 1 Summary - Mandatory Loop: N/A Elements: 2

User Option (Usage): Required

_		
⊢vam	n	Δ.
Exam	N	C.

Spaces in the example(s) are represented by periods ('.') for clarity.

For Leased-Line & Dial-Up:

GE*1*....(Hex'0D')

For BATCH:

GE*1*.....^

Ref	<u>ld</u>	Element Name	Req	Type	Min/Max	<u>Usage</u>	Rep
GE01	97	Number of Transaction Sets Included Description: Total number of transaction sets included in the functional group or interchange (transmission) group terminated by the trailer containing this data element. MEDI-CAL NOTE: '1'.	M	N6	1/6	Required	1
GE02	28	Group Control Number Description: Assigned number originated and maintained by the sender. MEDI-CAL NOTE: A number, right justified and with leading zeros. This number must be identical to GS06.	M	N9	9/9	Required	1

IEA Interchange Control Trailer

Pos: 250 Max: 1 Summary - Mandatory Loop: N/A Elements: 2

User Option (Usage): Required

Example:

Spaces in the example(s) are represented by periods ('.') for clarity.

For Leased-Line & Dial-Up: IEA*2*....(Hex'0D')

For BATCH:

IEA*2*.....^

Ref	<u>ld</u>	Element Name Number of Included Functional Groups Description: A count of the number of functional groups included in an interchange. MEDI-CAL NOTE: '1'.	<u>Req</u>	<u>Type</u>	<u>Min/Max</u>	<u>Usage</u>	<u>Rep</u>
IEA01	I16		M	N5	1/5	Required	1
IEA02	I12	Interchange Control Number Description: A control number assigned by the interchange sender. MEDI-CAL NOTE: A number, right justified and with leading zeros. This number must be identical to ISA13.	M	N9	9/9	Required	1

Appendix

All Included Elements in All Included Segments

	idded Lieilieilis III Ali Ilicidded	oeginents
<u>ld</u>	<u>Elements</u>	Used in Segments
C003	Composite Medical Procedure Identifier	EQ
I01	Authorization Information Qualifier	IEA, ISA, TA1
19	City Name	N4
26	Country Code	N4
28	Group Control Number	GE, GS
66	Identification Code Qualifier	NM1
67	Identification Code	NM1
93	Name	PER
96	Number of Included Segments	SE
97	Number of Transaction Sets Included	GE
98	Entity Identifier Code	NM1
116	Postal Code	N4
124	Application Receiver's Code	GS
127	Reference Identification	BHT, PRV, REF, TRN
128	Reference Identification Qualifier	PRV, REF
142	Application Sender's Code	GS
143	Transaction Set Identifier Code	ST
156	State or Province Code	N4
166	Address Information	N3
234	Product/Service ID	EQ
235	Product/Service ID Qualifier	EQ
329	Transaction Set Control Number	SE, ST
337	Time	BHT, GS
353	Transaction Set Purpose Code	BHT
364	Communication Number	PER
365	Communication Number Qualifier	PER
366	Contact Function Code	PER
373	Date	BHT, GS
374	Date/Time Qualifier	DTP
455	Responsible Agency Code	GS
479	Functional Identifier Code	GS
480	Version / Release / Industry Identifier Code	GS
481	Trace Type Code	TRN
509	Originating Company Identifier	TRN
522	Amount Qualifier Code	AMT
628	Hierarchical ID Number	HL
734	Hierarchical Parent ID Number	HL
735	Hierarchical Level Code	HL
736	Hierarchical Child Code	HL
782	Monetary Amount	AMT
1005	Hierarchical Structure Code	BHT
1035	Name Last or Organization Name	NM1
1036	Name First	NM1
1037	Name or Initial Middle	NM1

1039	Name Suffix	NM1
1065	Entity Type Qualifier	NM1
1068	Gender Code	DMG
1069	Individual Relationship Code	INS
1073	Yes/No Condition or Response Code	INS
1207	Coverage Level Code	EQ
1221	Provider Code	PRV
1250	Date Time Period Format Qualifier	DMG, DTP
1251	Date Time Period	DMG, DTP
1270	Code List Qualifier Code	III
1271	Industry Code	III
1336	Insurance Type Code	EQ
1339	Procedure Modifier	EQ
1365	Service Type Code	EQ
1470	Number	INS